

01/17/02
JC698 U.S. PTO

Please type a plus sign (+) inside this box

PTO/SB/05 (12/9)
Approved for use through 09/30/00. OMB 0651-003
Patent and Trademark Office: U.S. Department of Commerce

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UTILITY
PATENT APPLICATION
TRANSMITTAL

Atty Docket No. 33335R014(CONT) Total Pages 17

First Named Inventor of Application Identifier

Richard Warby

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent contents.

Assistant Commissioner for Patents

ADDRESS TO: Box Patent Applications
Washington, DC 20231

1. ☒ Fee Transmittal Form (submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 12]
 - Descriptive title of the Invention
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Claim(s)
 - Abstract of the Disclosure)
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 2]
4. ☒ Oath or Declaration [Total Pages 1]
 - a. ☒ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d)) [Pages 1]
(for continuation/division with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
 - ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) Should be specifically itemized)
14. ☐ Small entity Statement(s)
 - ☐ Statement filed in prior application. Status still proper and desired.
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of pending prior international application No. U.S. Serial No. 09/642,656, filed 8/22/00 entitled DRUG DELIVERY DEVICES.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below
(insert Customer No. or Attach bar code label here)

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City	Washington	State	D.C.	Zip Code	20036	
Country	U.S.	Telephone	(202) 659-2811	Fax	(202) 659-1462	
Name (Print/Type)	Dennis C. Rodgers			Registration No. (Attorney/Agent)	32,936	
Signature				Date	January 17, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORMS TO THE ADDRESS, SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 2023

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FEE TRANSMITTAL Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§1.27 and 1.28.	Complete if Known	
	Application Number	New
	Filing Date	January 17, 2002
	First Named Inventor	Richard Warby
	Examiner Name	Unassigned
	Group / Art Unit	Unassigned
	Attorney Docket No.	33335R014CONT
	TOTAL AMOUNT OF PAYMENT: \$ 0.00	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																													
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account No.: <u>02-4300</u> Deposit Account Name: _____ <input type="checkbox"/> Charge any additional fee Required Under 37 C.F.R. §§1.16 and 1.17 related to this filing <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. §1.18 at the Mailing of the Notice of Allowance		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td></tr> <tr><td>146</td><td>760</td><td>246</td><td>380</td></tr> <tr><td>149</td><td>70</td><td>249</td><td>380</td></tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65	127	50	227	25	139	130	139	130	147	2,520	147	2,520	112	920*	112	920*	113	1,840*	113	1,840*	115	110	215	55	116	380	216	190	117	870	217	435	118	1,360	218	680	128	1,850	228	925	119	300	219	150	120	300	220	150	121	260	221	130	138	1,510	138	1,510	140	110	240	55	141	1,210	241	605	142	1,210	242	605	143	430	243	215	144	580	244	290	122	130	122	130	123	50	123	50	126	240	126	240	581	40	581	40	146	760	246	380	149	70	249	380
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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Dennis C. Rodgers		Reg. Number	32,936
Signature		Date	January 17, 2002	Deposit Account User ID	02-4300